

**ADRC-IIROC ARBITRATION PROGRAM**  
**ARBITRATION AGREEMENT**

**BETWEEN:**

\_\_\_\_\_  
(the "Claimant")

**AND:**

\_\_\_\_\_  
(the "Respondent")

The undersigned (the "Parties") hereby agree to submit to binding arbitration pursuant to the Rules of Procedure of the ADRC-IIROC Arbitration Program (the "Rules") in effect as at the date of the Notice of Arbitration.

**Procedure**

1. The arbitration will be conducted under the auspices of ADR Chambers ("ADRC"), pursuant to the Rules.

**Disclosure**

2. The parties consent to the disclosure to IIROC of information concerning arbitrations, including copies of awards and notice of settlements, for the purpose of monitoring the Program and for regulatory purposes.

**Finality**

3. The Parties expressly refer to the Arbitrator for decision all questions of fact and of law, or of mixed fact and law, and agree that the award shall be final and binding. Accordingly, the parties specifically exclude – to the fullest extent permitted by law – appeal of the award on any question of law, question of fact, or question of mixed law and fact.

**Severability**

4. Any provision hereof which may prove unenforceable under any law or by any court shall not affect the validity of any other provision hereof.

**Counterparts**

5. This Agreement may be executed in one or more counterparts, delivered via electronic transmission, courier, fax or post, each of which shall be deemed an original, but all of which taken together constitute one and the same instrument.

**SIGNED:**

\_\_\_\_\_  
Claimant

\_\_\_\_\_  
Respondent

Date: \_\_\_\_\_

Date: \_\_\_\_\_